

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Atty GRT-4662-216  
Dkt.

C# M#

RAEMAKERS-FRANKEN et al

TC/A.U. 1711

Serial No. 10/586,132

Examiner: Not Known

Filed: July 17, 2006

Date: March 13, 2007

Title: BIOCHEMICAL SYNTHESIS OF 6-AMINO CAPROIC ACID

ITW  
Box 950Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**PRELIMINARY AMENDMENT**

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

☐ **Correspondence Address Indication Form Attached.****Fees are attached as calculated below:**

Total effective claims after amendment 27 minus highest number  
previously paid for 27 (at least 20) = 0 x \$50.00 \$0.00 (1202)/\$0.00 (2202) \$

Independent claims after amendment 11 minus highest number  
previously paid for 11 (at least 3) = 0 x \$200.00 \$0.00 (1201)/\$0.00 (2201) \$

If proper multiple dependent claims now added for first time, (ignore improper); add  
\$360.00 (1203)/\$180.00 (2203) \$

Petition is hereby made to extend the current due date so as to cover the filing date of this  
paper and attachment(s)  
One Month Extension \$120.00 (1251)/\$60.00 (2251)  
Two Month Extensions \$450.00 (1252)/\$225.00 (2252)  
Three Month Extensions \$1020.00 (1253)/\$510.00 (2253)  
Four Month Extensions \$1590.00 (1254)/\$795.00 (2254)  
Five Month Extensions \$2160.00 (1255)/\$1080.00 (2255) \$

Terminal disclaimer enclosed, add \$130.00 (1814)/\$65.00 (2814) \$

☐ Applicant claims "small entity" status. ☐ Statement filed herewith

Rule 56 Information Disclosure Statement Filing Fee \$180.00 (1806) \$ 0.00

Assignment Recording Fee \$40.00 (8021) \$ 0.00

Other: **PAPER AND COMPUTER READABLE FORM OF THE SEQUENCE LISTING** \$ 0.00

**TOTAL FEE ENCLOSED \$ 0.00**

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

901 North Glebe Road, 11th Floor  
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GRT:apNIXON & VANDERHYE P.C.  
By Atty: Gary R. Tanigawa, Reg. No. 43,180Signature: 



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

RAEMAKERS-FRANKEN et al.

Appln. No. 10/586,132

Filed: July 17, 2006

FOR: BIOCHEMICAL SYNTHESIS OF 6-AMINO CAPROIC ACID

Confirmation No. 3817

Atty. Ref.: 4662-216

T.C. / Art Unit: 1711

Examiner: Not Known

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**PRELIMINARY AMENDMENT**

March 13, 2007

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notification to Comply mailed February 16, 2007, entry and consideration of the following amendments and remarks are respectfully requested.

Paper and computer readable copies of the **Sequence Listing** are submitted herewith and their entry is requested on page 2.

The **claims** are presented on pages 3-7.

Claims 2, 4-5, 7-9, 11-13, 20 and 27 are amended.

**Remarks** begin on page 8.

**IN THE SEQUENCE LISTING**

Kindly enter the attached Sequence Listing in lieu of the original submitted on January 17, 2007.

Paper and computer readable forms of the Sequence Listing do not add new matter, and their contents are the same. It is respectfully submitted that the attached complies with 37 CFR § 1.821 et seq. Otherwise, prompt notice of any defects in the Sequence Listing is earnestly solicited and additional time is requested to comply.